

**REQUEST FOR PROPOSALS (RFP)**

**CONSTRUCTION OF FISH PASSAGE STRUCTURE AT METROLINK  
RAILWAY BARRIER ON TRABUCO CREEK**

CITY OF SAN JUAN CAPISTRANO, CALIFORNIA

BID OPENING DATE: 8/3/2026

BID CLOSING DATE: 9/4/2026

PROJECT LEAD: CALIFORNIA TROUT  
IN COLLABORATION WITH:  
THE COUNTY OF ORANGE AND CITY OF SAN JUAN CAPISTRANO

AGENCY FUNDING FOR CONSTRUCTION:  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION  
CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE  
Foundation Support  
ORANGE COUNTY COMMUNITY FOUNDATION  
THE WHITTIER FUND

Any questions relative to this project, prior to execution of the Contract, should be directed to:

Sandra Jacobson, Ph.D.  
Director, South Coast and Sierra Regions, California Trout  
Email: [sjacobson@caltrout.org](mailto:sjacobson@caltrout.org)

and

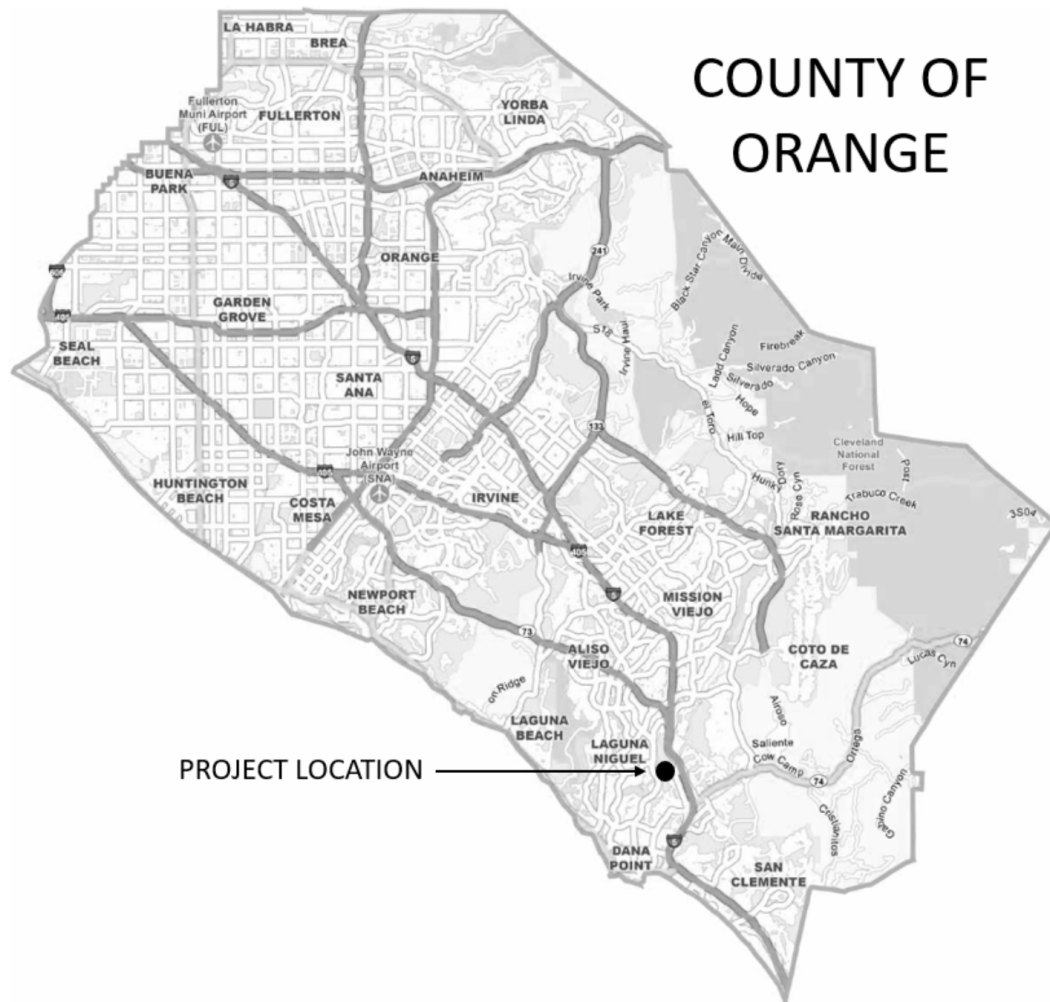
Nate Yancheff  
Project Manager, South Coast Region, California Trout  
Email: [nyancheff@caltrout.org](mailto:nyancheff@caltrout.org)  
Phone: (724) 777-4620

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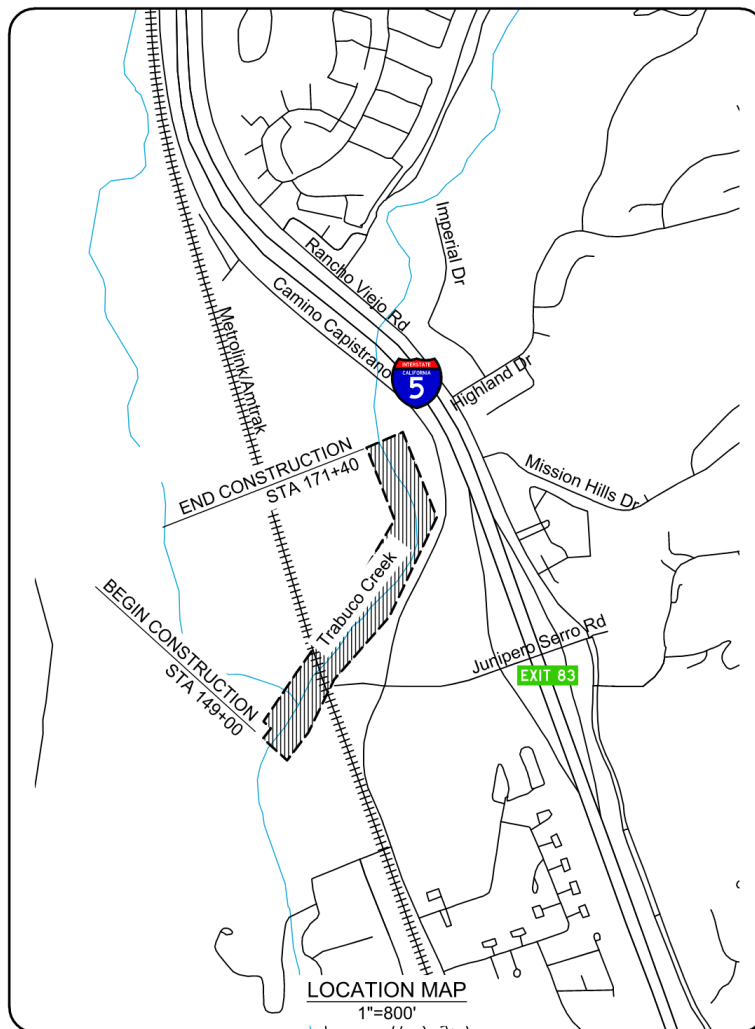
Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County



CITY OF SAN JUAN CAPISTRANO, CALIFORNIA

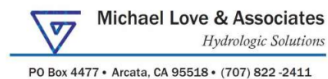


## Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County



GPS Coordinates: 33.413908N, -117.241300W

The Plans and Special Provisions herein have been prepared by or under the direction of the following  
Registered Professional Engineers:



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  - Appendix E: Hydraulic Analysis Report (FOR REFERENCE ONLY)
  - Appendix F: Sample Contract & Exhibits
    - Exhibit A - Proposal and Bid Schedule (placeholder, no enclosure)
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## Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County

- Exhibit B - Project Schedule (placeholder, no enclosure)
- Exhibit C - Invoicing Requirements Template, *unless otherwise mutually agreed upon to use Pay App Form*
- Exhibit D - Insurance Requirements
- Exhibit E - General Conditions
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## Attachments

- Attachment 1: Cover Page
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- Attachment 9: Bid Pricing Schedule and Schedule of Select Costs

General Contractor Bid Package Available at:

<https://caltrout.org/projects/trabuco-creek-fish-passage-project/> (Direct Link)

<https://californiatroutinc.box.com/s/vgffg6g96thbj50qwo0jdt6gghi7ha7c> (Website Posting Link / Q&A's)

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## **PART I – GENERAL INFORMATION**

### **A. INTRODUCTION & PROJECT BACKGROUND**

California Trout (CalTrout), a statewide environmental non-profit organization, is issuing this Request for Proposal (RFP) to solicit qualified General Contractors for the construction of the Fish Passage Structure at the Metrolink Railway Barrier on Trabuco Creek (Project). CalTrout is the Owner for the construction phase, with McMillen, Inc. (McMillen) as their Construction Manager for the Project.

The Project was designed by Northwest Hydraulics Consultants (NHC), Mike Love & Associates, Inc. and GFT, Inc. and comprises civil/structural plans, specifications, hydrologic/hydraulic calculations, and geotechnical report that were reviewed by City of San Juan Capistrano, PACE Engineering, AECOM on behalf of Southern California Regional Rail Authority (SCRRA) and Orange County Transportation Association (OCTA), and Moulton Niguel Water District (MNWD).

The Project is permitted through the State Water Board General Order (2024) which is a streamlined permitting pathway for restoration projects that meet specific criteria aligned with the Statewide Restoration General Order (SRGO) Programmatic Environmental Impact Report (EIR). Included in Appendix A is Section A.5.2 General Protection Measures, excerpt from the SRGO Final Order Attachment A. For purposes of project planning, the majority of mitigation measures contained in this Section are anticipated to be applicable to the project. The final list of measures will be provided upon issuance of the Notice of Applicability (NOA) by the Water Board. San Diego Regional Water Quality Control Board is committed to CEQA lead upon certification of the NOI. The Project is funded and ready to start construction, pending permit authorization, final approval of design plans and specifications by the aforementioned entities, and selection of a General Contractor. Funding for the fish passage barrier remediation and habitat restoration project is secured by federal and state agencies with additional support from local community foundations.

The General Contractor will be responsible for the Project overall and will coordinate closely with Cal Trout's Construction Manager, Permitting Specialist, and Tribal Monitors to ensure that the Project is executed in accordance with the approved plans and specifications, regulatory, cultural and environmental permit requirements, and completed on schedule and on budget.

### **B. RFP & PROJECT TIMELINE**

The outline for the RFP process is provided in the following table. The dates and times contained in the timelines below are subject to change by CalTrout.

| <b>Event</b>                                                                                     | <b>Date</b><br>All times listed are Pacific Standard time                                |
|--------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| RFP Distribution                                                                                 | 8/3/2026                                                                                 |
| Mandatory Pre-Bid Meeting                                                                        | 8/13/2026 at 10:00 am                                                                    |
| RFP Questions Due                                                                                | 10 business days prior to Proposal Due date, by 5:00 pm                                  |
| Deadline for submission of proposals                                                             | 9/4/2026 by 5:00 pm                                                                      |
| Interviews                                                                                       | Week of 9/21/2026                                                                        |
| Target Contractor Selection / Contract Award                                                     | 9/28/2026                                                                                |
| Target Contract Execution                                                                        | 10/23/2026                                                                               |
| Tentative Preconstruction Phase Start                                                            | Following Contract Execution                                                             |
| Tentative Season 1 Construction Start (Mobilization / Clearing / Above Ordinary High-Water Work) | 2/1/2027                                                                                 |
| Season 1 In-Water Work Window                                                                    | 6/1/2027 – 11/1/2027                                                                     |
| Season 1 Site Stabilization / Demobilization                                                     | 11/1/2027-11/20/2027                                                                     |
| Tentative Season 2 Construction Start (Mobilization / Clearing / Above Ordinary High-Water Work) | 1/31/2028 (or as determined by Contractor to comply with permitting and nesting periods) |
| Season 2 In-Water Work Window                                                                    | 6/1/2028 – 11/1/2028                                                                     |
| Season 2 Site Stabilization / Demobilization                                                     | 11/1/2028-11/18/2028                                                                     |
| Project Substantial Completion                                                                   | 11/30/2028                                                                               |
| Mandatory Project Final Completion                                                               | 12/31/2028                                                                               |

### **C. DESCRIPTION OF PROJECT**

Migratory fish passage on Trabuco Creek is blocked at the Metrolink Railway crossing in the City of San Juan Capistrano, preventing access to the upper watershed for state and federally endangered Southern steelhead trout. The barrier at the Metrolink Railway crossing is a result of transportation and utility stream crossings and associated erosion protection facilities (grouted riprap) immediately downstream of the railroad bridge that have developed into a 30-ft vertical drop as the creek incised over time. The remediation of this barrier constitutes a major step in returning steelhead to their historical spawning stream reaches in the headwaters of Trabuco Creek. Additionally, multiple habitat improvements are incorporated into the Project to protect biological and cultural resources and to allow for sustainable fish and aquatic species passage and survival, including restoration of a more natural channel morphology, invasive species management, and enhancement of riparian vegetation and natural channel features.

The Project consists of removing this barrier to fish passage and providing new passage through a 420 ft long nature-like fishway pool-and-chute type ramp; protecting existing infrastructure by stabilizing the utility crossing, restoring approximately 3,700 feet of the Trabuco Creek channel; improving water quality; improving habitat for multiple species; all of which would subsequently enhance open space and recreational opportunities for residents and visitors.

This Project will also replace the grouted rock grade control structure located below the Metrolink bridge, which is currently undercut and at risk of failure, with a stable roughened channel for flood conveyance and providing a bypass chute-and-pool roughened channel fishway. Nearly 2,000 feet of channel upstream of the Metrolink bridge will also be restored, which will provide water quality improvements, invasive plant and wildlife species removal, aquatic and riparian habitat enhancements, and fish passage improvements from the confluence of Oso Creek and Arroyo Trabuco upstream to the Orange County flood conveyance structure where the I-5 crosses Arroyo Trabuco.

The Trabuco channel downstream of the Metrolink railroad bridge crossing is currently subject to scour due to the drop height and erosion of the right bank. This portion of channel and the toe of the right bank slope would be stabilized by reconstructing the channel invert with rock or material designed for stability during flood events. Replacement of the existing Metrolink drop structure will also provide sufficient grade control to stabilize the lower gradient reach between the Metrolink drop structure and the upstream Orange County flood conveyance structure at the I-5 crossing. A new equestrian/multi-use trail crossing to improve access and safety will be constructed slightly upstream of its current location beneath the Metrolink railway to replace the existing equestrian trail that will be removed.

At the Metrolink crossing, the Project includes the following elements:

- A roughened channel fishway located along the right bank of the channel (looking downstream) comprised of an approximately 130-ft long rock ramp, a turning pool and approximately 420-ft long pool and chute roughened channel fishway.
- Portions of fishway will include concrete walls and floors and portions will be constructed entirely of rock. The fishway surface will be constructed using a rock fill with a specified gradation, or Engineered Streambed Material (ESM), according to California Department of Fish and Wildlife (CDFW) guidance.
- Near the downstream end of the fishway, a fish guidance barrier will be installed across the channel to guide upstream migrating fish to the fishway entrance. Most of the guidance barrier will be constructed of rock, with a short concrete low flow ramp section near the turning pool.
- The existing concrete and grouted rock drop structure will be replaced with a new concrete drop structure and stilling basin.

- The existing over-steepened right bank of the channel will be regraded and revegetated. A maintenance road will be located along the toe of the bank adjacent to the pool and chute fishway, and a ramp extending diagonally from the maintenance road to the top of slope.
- The fishway will connect to the existing stream channel upstream of the Metrolink Bridge via an exit channel constructed of concrete and rock. The exit channel design and stabilization of the drop structure crest includes a pedestrian/equestrian trail and a bridge across the exit channel.
- In the Middle Reach between the I-5 and the Metrolink fish passage improvements, the Project includes restoration of nearly 2,000 feet of channel between I-5 and Metrolink crossings to include the following elements.
  - Construction of riffles and pools and placement of Streambed Fill and Boulders within the Middle Reach channel to raise or maintain the existing profile and increase connectivity with the floodplain and reduce aquatic invasive habitat.
  - Grading of a portion of the right bank to increase floodplain area, reduce flow velocities, and provide expanded area for planting native species.
  - Replacement of a failed rail and wire bank protection structure on the left bank of the channel with biotechnical bank protection incorporating large woody material, rock, and vegetation; and regrading and revegetation of the floodplain bench above the bank protection with native species.
  - Stabilization of local storm drain outfalls with riprap along the left bank to reduce erosion and improve water quality.

## **D. SCHEDULE CONSIDERATIONS**

Construction of the Metrolink Fish Passage and associated improvements is subject to In-Stream Work Windows in which work can be performed annually in the channel below the ordinary high-water mark between June 1 – November 1. Upland work may be scheduled outside of these in-stream work windows, but respondents must plan for these seasonal constraints in their construction sequence.

Additionally, the project is subject to work activity restrictions due to the bird nesting season from mid-March to late September. Vegetation clearing or other habitat-altering activities that could disturb nests should be avoided during this time period. The attached permitting matrix identifies the requirements for each of the permits and measures to be implemented.

Finally, the project must be substantially completed by 11/30/2028. Respondents must allocate the appropriate resources and schedule the work to be accomplished and align with the seasonal in-water and environmental constraints, as outlined herein.

## E. PRECONSTRUCTION SCOPE OF SERVICES

Due to the seasonal in-water and environmental constraints, this project's success relies upon the Contractor's ability to perform specific scopes of work within the allowable timeframes. For example, it is necessary to complete upland clearing and site/staging yard setup before the mid-March to late September bird nesting season. Additionally, other upland related scopes should be planned early in preparation for the Contractor derived critical path that occurs within the in-water work window.

These scopes of work require various preconstruction plans/submittals to be approved prior to commencement. To support this project's success, it is the intent of CalTrout to issue a Preconstruction Notice to Proceed (NTP) immediately upon contract execution to allow for the early development and approval of these critical plans. The table below outlines a list of the **minimum deliverables that must be prepared by the Contractor and approved by the respective Agency before a Construction NTP can be issued.** Note that this list is provided for informational purposes and does not waive the Contractor's obligation to comply with all required deliverables stated within the Contract Documents or that may hinder its ability to proceed with the work as planned. **The Contractor is responsible to comply with all regulatory requirements whether stated explicitly here or not.**

| Preconstruction Tasks |                      |                                                                                                                |                             |                       |
|-----------------------|----------------------|----------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------|
| #                     | Reference Spec.<br># | Task Description                                                                                               | Due Date<br>(Calendar Days) | Approval Agency       |
| 1                     | N/A                  | Site Specific Safety Plan                                                                                      | +60 days from NTP           | CalTrout              |
| 2                     | N/A                  | Fire Safety and Protection Plan                                                                                | +60 days from NTP           | OCFA                  |
| 3                     | 5.2.4                | Baseline Schedule                                                                                              | +15 days from NTP           | CalTrout              |
| 4                     | 10.1.2               | Storm Water Pollution Prevention Plan (SWPPP)                                                                  | +30 days from NTP           | SWRCB / CalTrout      |
| 5                     | 11.1.1               | Temporary Stream Bypass Plan                                                                                   | +15 days from NTP           | CalTrout              |
| 6                     | 11.1.2               | Control of Water (Dewatering) Plan                                                                             | +15 days from NTP           | CalTrout              |
| 7                     | 12.1                 | Demolition, Clearing, Site Preparation, and Protection Plan                                                    | +30 days from NTP           | CalTrout              |
| 8                     | 12.3.1               | Metrolink/SCRRA Right-of-Way Enroachment Plan/Permit (SCRRA Form No.6/Insurance/Site Specific Work Plans/etc.) | +30 days from NTP           | SCRRA / CalTrout      |
| 9                     | 13                   | Permits for enroachment, hauling, traffic control, etc. (as necessary)                                         | +60 days from NTP           | City / County / State |
| 10                    | 13.3                 | Construction Access Plan                                                                                       | +60 days from NTP           | CalTrout              |
| 11                    | 13.4                 | Staging and Stockpile Plan                                                                                     | +60 days from NTP           | CalTrout              |
| 12                    | 13.5                 | Materials Hauling Route and Traffic Control Plan                                                               | +60 days from NTP           | CalTrout              |
| 13                    | 13.6                 | Temporary Trail Closure Plan                                                                                   | +60 days from NTP           | CalTrout / City       |
| 14                    | 14.1                 | Shoring Plan                                                                                                   | +30 days from NTP           | SCRRA / CalTrout      |

## F. CONTRACTOR'S MINIMUM QUALIFICATIONS

The following Minimum Requirements shall be met by all Bidders.

- Contractor has greater than ten (10) years experience in construction of heavy civil and structural projects of similar scope and complexity to the Project, with at least 2 projects of >\$10M in revenue in the past 10 years.
- Contractor must have a California contractor's license, classification Class A certification to bid on this Project. A Bidder may fulfill these license requirements either by itself or in conjunction with licenses held by subcontractors of any tier. All of Contractor's subcontractors must also be properly licensed to perform the work for which they are responsible.
- Contractor and all subcontractors used for the Project shall be registered, pursuant to Section 1725.5 of the California Labor Code, with the Department of Industrial Relations ("DIR") at the time of bid opening. This Project is subject to compliance monitoring and enforcement by the DIR.
- Apparent Successful Bidder, or any other Bidder upon request, shall provide Company's latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.
- Contractor shall be capable of providing performance and payment bonds up to \$25 Million Dollars for a single project.

## G. ADDITIONAL PROVISIONS

Standard Specifications: The Standard Specifications, incorporated herein, for this project follows the 2024 Greenbook: *Standard Specifications for Public Works Construction*.

"Greenbook" is published every three years under the oversight of Public Works Standards, Inc. (PWSI). The members of PWSI include the American Public Works Association (APWA), Associated General Contractors of California (AGCC), Engineering Contractors Association (ECA), and Southern California Contractors Association (SCCA), published by BNi Publications, Inc. Supplemental ("Special Provisions: Construction Details") to said Standard Specifications are included in the Contract Documents as Appendix C.

Order of Precedence: In the event of any inconsistency among sections of the General Contractor Request for Proposal (RFP) herein, including all appendices and attachments, the stricter of the terms shall control.

Bid Guarantee: Bidders are required to furnish a bid guarantee in the amount of 20% of the bid price in the form of a firm commitment (e.g., bid bond supported by good and sufficient surety or sureties, postal money order, certified check, cashier's check, or irrevocable letter of credit).

Performance and Payment Bonds: The successful bidder will be required to furnish Performance and Payment Bonds for 100% of the Contract amount.

Wage Rates: This project is a “public works” project in accordance with Labor Code §1720, et seq., and is subject to the payment of federal prevailing wages pursuant to the Davis-Bacon Act, 40 USC §3141, et seq. It is the sole responsibility of the Contractor to ensure that all workers employed in the execution of the contract are paid the correct State and Federal prevailing rate of wages.

The Owner has obtained from the Director of the Department of Industrial Relations said Director’s State General Prevailing Wage Determination for the locality in which the work is to be performed. Said determinations are on file and available at <https://www.dir.ca.gov/dirdatabases.html> for Orange County.

Equipment Rates: The State Department of Transportation publication "Labor Surcharge and Equipment Rental Rates" in effect the date the work is accomplished, is incorporated by reference into these Contract Documents.

California Labor Code Compliance: Contractor and subcontractor shall comply with sections 1776 and 1777.5 of the California Labor Code.

Cal/OSHA regulations and Metrolink Rules and Requirements for Construction on Railroad Property: Contractor and subcontractors shall comply with all applicable Cal/OSHA requirements and SCRRRA Form 37: Rules and Requirements for Construction on SCRRRA Right-of-Way, available on Metrolink’s website at <https://metrolinktrains.com/about/agency/right-of-way/>

Contractors Licensing Laws: Attention is directed to the Contractors License Law, Section 7000, and following the Business and Professions Code concerning the licensing of contractors. Any bidder or contractor not properly licensed may be subject to the penalties provided and will not be considered for award of this Contract. Contractors are required by law to be licensed and are regulated by the Contractors State License Board. Contractors are required to be properly licensed in California on the date bids are submitted (Bus. & Prof. Code Section 7028.15), unless federal funds are involved. If federal funds are involved, the bidder shall be properly licensed in California at the time the contract is awarded (Pub. Contract Code, Section 20103.5).

Disadvantaged Business Enterprise (DBE) or Small Disadvantaged Businesses (SDB): The Owner encourages the participation of small, minority, women, handicapped, and disabled veteran owned businesses.

Interpretation of Estimated Quantities: If an estimate of the quantities of work to be done and materials to be furnished under these Specifications is given in the Proposal, Special Provisions,

or shown on the construction plans, it is given only as a basis for comparison of proposals and the award of the Contract. The Owner does not expressly or by implication guarantee that the actual quantities involved will correspond exactly therewith. Payment to the Contractor will be made at the lump sum prices included in the provided Bid Schedule. Contractor is responsible for its own take offs and all pricing to construct the entire project as shown in the Contract Documents.

Funding Agency Requirements: Contractor shall comply with or provide documentation necessary for CalTrout to comply with any applicable requirements contained in the Grant Agreement(s), including compliance with Buy America requirements included in NOAA Grant Agreement in Appendix F, Sample Contract & Exhibits.

## **H. NONDISCRIMINATION ASSURANCES**

CalTrout hereby notifies all bidders that it will affirmatively insure that in any agreement entered into pursuant to this advertisement, minority business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, sex, national origin, religion, age, or disability in consideration for award.

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter collectively referred to as the “contractor”) agrees as follows:

- (1) Nondiscrimination: Contractor, with regard to the work performed by it during the Contract, shall not discriminate on the grounds of race, color, sex, national origin, religion, age, or disability in the selection and retention of subcontractors, including procurements of materials and leases of equipment. Contractor shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, Department of Transportation, Title 49, Code of Federal Regulations, Part 21.
- (2) Solicitations for Subcontractors, Including Procurements of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by contractor for work to be performed under a Subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by contractor of contractor’s obligations under this contract and the Regulations relative to the nondiscrimination on the grounds of race, color, sex, national origin, religion, age, or disability.
- (3) Sanctions for Noncompliance: In the event of contractor’s noncompliance with the nondiscrimination provisions of this contract, County shall impose such agreement sanctions as it or the State and/or FHWA may determine to be appropriate, including, but not limited to: withholding of payments to contractor under the contract until the

contractor complies; and/or cancellation, termination or suspension of the contract, in whole or part.

Contractor shall take such action with respect to any subcontract or procurement as County or State may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that, in the event contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, contractor may request County to enter into such litigation to protect the interests of the County, and, in addition, contractor may request the United States to enter into such litigation to protect the interests of the United States.

## PART II – INSTRUCTIONS TO BIDDERS

### A. PRE-BID MEETING

CalTrout will conduct an in-person **MANDATORY** Pre-Bid Meeting on **Thursday August 13<sup>th</sup> at 10:00 am PST**. Attendees shall email Nate Yancheff at [nyancheff@caltrout.org](mailto:nyancheff@caltrout.org) **confirming their attendance by August 7, 2026**. The purpose of this meeting is to review the requirements of the proposal, provide an overview of the project and scope of services, and walk the project site.

Meeting location is the Northwest Open Space in San Juan Capistrano, at the end of the dirt road near the Cortese Dog Park. Approximate location here:

<https://maps.app.goo.gl/tgakk5epZGRUmdAc9>

PPE is not required for the site walk, but attendees should be prepared to walk at least 1-mile for a roundtrip from the meeting place to the project site.

### B. BIDDER QUESTIONS

The Owner will not be responsible for any oral interpretation of the meaning of the plans, specifications, or other pre-bid documents. Every request for such interpretation shall be in writing and forwarded via email to [nyancheff@caltrout.org](mailto:nyancheff@caltrout.org) and must be received at least **10 business days prior to the date fixed for the closing of bids**.

### C. ADDENDUMS & RESPONSE TO QUESTIONS

Any and all such interpretations and any supplemental instructions will be in the form of written amendment. If issued, Amendments will be posted on the CalTrout website at the link below. It is the responsibility of all prospective bidders to check the CalTrout website for any updates to the RFP. All amendments issued shall become part of the Contract Documents.

Response to Bidder Questions will be posted on CalTrout website under the RFP Section of the Trabuco Creek Fish Passage Project at: <https://caltrout.org/projects/trabuco-creek-fish-passage-project/>

Response to bidder questions will be posted within 3 business days following the Pre-Bid Meeting, and then 5 business days following closure of the question period to respond to follow up questions and requests for clarification.

## D. ELECTRONIC SUBMISSION OF PROPOSALS

Respondents must submit proposals to CalTrout in PDF format via email. **Complete electronic proposals must be delivered to CalTrout prior to the deadline of September 4, 2026, by 5:00 pm Pacific Time.** Submit the completed proposal in one single and organized PDF to Sandra Jacobson ([sjacobson@caltrout.org](mailto:sjacobson@caltrout.org)) and Nathan Yancheff ([nyancheff@caltrout.org](mailto:nyancheff@caltrout.org)). Proposals received after the due date and time will not be considered.

## E. PROPOSAL REQUIREMENTS & CONTENTS

The following contents shall be completed and included in the response to this RFP. Failing to completely fill out, sign, or include these documents could lead to rejection of the proposal.

1. Cover page: Complete the attached Bidder Proposal Cover Page, Attachment 1
2. Introductory Letter: The letter shall be on Company letterhead, provide an introduction of the firm, summarize the Bidders understanding of the Project, identify the primary point of contact and contact information (title, phone number and email), and location out of which work will be performed, and confirm the Bidder's commitment to meet all requirements set forth in the RFP. All addendums received must be acknowledged in the cover letter. The Bidder shall provide a brief statement affirming that the proposal terms shall remain in effect for ninety (90) days following the date proposals are due. **The letter shall be limited to 2 pages.**
3. Contact Information: Complete Attachment 2
4. Contractor Qualification Statements: Complete Attachment 3
5. Organizational History & Performance: Complete Attachment 4
6. Representations and Certifications: Complete and sign Attachment 5
7. Bid Guarantee: In the amount stated in PART I, Additional Provisions, provide on standard surety form.
8. Designation of Subcontractors: For each subcontractor the Bidder proposes to subcontract portions of the work in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, Bidder shall complete the attached Designation of Subcontractors, included as Attachment 6.
9. Past Experience and Performance: Bidders shall submit information on up to six (6) projects completed in the past 10 years demonstrating Bidder's recent and relevant experience and qualifications. See Attachment 7 – Project Data Sheet and Attachment 8 – Past Performance Questionnaire example forms. Bidders may elect to use their own forms if the information contained on the example forms is included, at a minimum. For each of the projects submitted, the Past Performance Questionnaire shall be completed by a representative of the project Owner or Client and included as part of the proposal response. Project data sheets should be limited to **2 pages per project**.

The past performance questionnaire does not count as part of the page limit. At least one of the following experience areas must be demonstrated in each project submitted:

- a. Experience working in Waterways with critical water control management systems (e.g. Fish Passage, Control of Water, Dewatering, Flood Control, etc.)
- b. Experience working with multiple stakeholders, including Railroad or transit agencies, and/or experience working with Southern California state, federal and local agencies.
- c. Experience working in culturally and environmentally sensitive areas, restoration or natural resources project.
- d. Experience with Erosion Control Management, BMP implementation and SWPPP reporting. Experience specific to California (e.g. QSD/QSP) is preferred but not required.
- e. Experience with shoring and temporary works systems (e.g., soil nail walls, soil retention, etc).

10. Project Approach Narrative: Bidders shall provide a written approach that addresses the following topics. Narrative is **limited to 10 pages or less**.

- a. Project Team: Provide a brief introduction of the proposed team and how the team will be organized, identify the roles and general responsibilities of each person, and how the team's collective experience will support this project's success. The Project Manager and Superintendent are considered key roles and shall have a minimum of 5 years' experience in that role for projects of similar scope and complexity. **Include resumes for the key roles.** (resumes do not count toward page limitation).

Provide a description of all work your company intends to self-perform vs. subcontract and discuss your company's ability to bring in additional manpower, if needed, to meet or accelerate schedule.

Provide information describing any Subcontractors you intend to utilize on the Project, their name, qualifications, and the scope of work they would be performing.

- b. SWPPP: Describe your company's experience with developing, implementing, and managing a SWPPP, including a summary of proposed BMP's that will be utilized during construction and how these will be monitored / maintained. Include an approach to Erosion and Sediment Control (ESC) mitigation to perform early work above the ordinary high-water mark that will avoid impacts to the in-water work area.

Provide a narrative detailing your company's understanding of the California State Water Resources Control Board requirements for development and management of a SWPPP within the State.

- c. Winterization: Provide a summary of your company's approach to implementing winterization protocols during the non in-water work window, how completed work and existing infrastructure will be protected, and how the site will be managed during the non-working season.
- d. Metrolink/SCRRRA Coordination: Describe your experience with and approach to working with Metrolink/SCRRRA on prior projects, opportunities to streamline permits for construction, engagement strategies to minimize impacts to their infrastructure and the construction schedule, and a general understanding of project elements that will be of utmost importance to obtain a Right of Entry (ROE) Encroachment Agreement for this project.

Include your approach to performing scopes of work on this project within the Metrolink ROW and how your means and methods will protect the infrastructure within the ROW.

- e. Environmental and Cultural: Describe your approach to working in environmentally and culturally sensitive areas and a plan to minimizing impacts to the construction schedule should a discovery be encountered. How have you handled inadvertent discovery of cultural artifacts or other resources in the past?
  - f. Shoring and Temporary Works: Describe your company's experience with shoring systems, and other temporary works, that will support the scope of work on this project.
  - g. Material Sourcing: Describe your company's experience working with key suppliers to ensure materials are compliantly sourced and available when needed onsite.
  - h. Safety: Describe your approach to actively managing Safety, including the key hazards present on this project and how you will mitigate any incidents. Additionally, how your company will protect the public safety and control of the site.
  - i. Quality Control: Describe your company's approach to implementing a quality control plan and ensuring all work is constructed in compliance with the contract documents.
11. Construction Schedule: The performance period for this Project mandates that all Work be substantially completed no later than November 30, 2028. Refer to Section D. Schedule Considerations in PART I for constraints. The Bidder shall prepare a construction schedule in Primavera P6, or equivalent CPM software, that demonstrates an understanding of the preconstruction phase, environmental and in-water work constraints, and overall construction sequence of work that adheres to the performance period. The schedule shall be in sufficient detail to demonstrate a task-oriented work breakdown structure and use the critical path method. Special attention shall be given to the following:

- a. Depict the overall construction plan for all pertinent features of work.
  - b. Define milestones for completion of key preconstruction and construction elements that support the various project constraints (e.g. preconstruction deliverables, permits, substantial completion, etc.).
  - c. Include long lead procurement activities for any materials (if applicable) and key submittals (preconstruction and construction) that tie to critical construction activities.
  - d. At a minimum, include columns for start, finish, duration, predecessors, successors, and calendars for each activity of work. Include printouts of any calendars used that demonstrate work windows for respective activities.
12. Cost Proposal: Bidders must complete Attachment 9 - Bid Schedule. Bidders shall include all costs necessary to fully perform the Work within the bid items provided, including labor, materials, equipment, supervision, insurance, overhead, and profit. Unbalanced bids or bids that materially front-load or misallocate costs among bid items may be grounds for disqualification.
- The resulting Contract will be a lump-sum agreement covering all Work required to meet the Project requirements. Payments will be made on a milestone-based progress payment basis upon successful completion and acceptance of the individual bid items identified in the Bid Tabulation Form. No separate payment will be made for work or costs not expressly included in the bid items.
13. Contract Agreement: Indicate if the Bidder has any proposed changes or exceptions to the Sample Contract Agreement and Exhibits included as Appendix F.

## **F. PROPOSAL EVALUATION AND SELECTION PROCESS**

The General Contractor will be selected from a competitive bidding process as providing the best value to complete the work, not necessarily the lowest bid, but one that combines the required experience, availability to start immediately, superior work history, reference support and pricing that gives the bidder the highest score.

All proposals will be evaluated by the CalTrout Selection Committee. The Committee will review the submittals and rank the proposers based on the Scoring Matrix and criteria. The three highest scoring qualified applicants will be interviewed.

**G. SCORING MATRIX**

| Category                                                                                                                                                                                                                                               | Number of Points<br>(partial points awarded<br>up to max value) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
| Reference PART II, Section E Proposal Requirements & Contents for specifics tied to each evaluation factor.                                                                                                                                            |                                                                 |
| <b>Minimum Qualifications (Items 1-8):</b> Fully capable, qualified, insured, and licensed to provide the requested services. Bidder completed all qualification statements and company forms.                                                         | PASS/FAIL                                                       |
| <b>Past Performance &amp; Experience (Item 9)</b><br>Proven track record and experience completing projects of similar scope and complexity aligning with experience areas identified.<br>Positive references from clients on past projects submitted. | 30                                                              |
| <b>Project Approach &amp; Understanding (Item 10)</b><br>Demonstrates strong understanding of project and successful strategies to project features identified.                                                                                        | 30                                                              |
| <b>Construction Schedule (Item 11)</b><br>Demonstrates a clear logical sequence to complete the work on time and with an understanding of various environmental and work window constraints.                                                           | 20                                                              |
| <b>Cost Proposal (Item 12)</b><br>Competitive total price to complete the work. Bidders will be ranked from highest to lowest total price offered.                                                                                                     | 15                                                              |
| <b>Contract Agreement (Item 13)</b><br>Bidders will be ranked on ability to accept terms with minimal exceptions noted.                                                                                                                                | 5                                                               |
| TOTAL                                                                                                                                                                                                                                                  | 100                                                             |

**ATTACHMENT 1: COVER PAGE**

# **FISH PASSAGE STRUCTURE CONSTRUCTION AT METROLINK RAILWAY BARRIER ON TRABUCO CREEK – ORANGE COUNTY**

## **SUBMITTAL LOCATION:**

**Electronically to:**

**Sandra Jacobson, Ph.D.  
Director South Coast Region, CalTrout  
[sjacobson@caltrout.org](mailto:sjacobson@caltrout.org)**

**AND**

**Nate Yancheff  
Project Manager South Coast Region, CalTrout  
[nyancheff@caltrout.org](mailto:nyancheff@caltrout.org)**

**Proposals Due: 9/4/2026 by 5:00 p.m. PDT**

**Authorized Proposal Submitter:**

**Name of Firm:**

**Office Location out of which Work performed:**

**DATE: \_\_\_\_\_**

## **ATTACHMENT 2: CONTACT INFORMATION**

## Contact Information

The Proposal Lead must provide the following contact information to be considered for further review. The Proposal Lead is the contractor that will execute the Project contract.

### PROPOSAL LEAD CONTACT INFORMATION

1. Firm Name: \_\_\_\_\_

*(as it appears on license)*

2. Address:

\_\_\_\_\_

\_\_\_\_\_

3. Phone Number of Firm: \_\_\_\_\_ Fax: \_\_\_\_\_

4. Contact Person & Title: \_\_\_\_\_

*(contact person for this RFP; contact does not need to be the firm's owner)*

5. Contact Person Email: \_\_\_\_\_

6. Bidder is (choose one):

Corporation, Partnership, Sole Proprietorship, Joint Venture, other

7. Date of Company Formation: \_\_\_\_\_

8. Under the laws of what state: \_\_\_\_\_

## **ATTACHMENT 3: CONTRACTOR QUALIFICATION STATEMENTS**

## QUALIFICATION STATEMENTS

Contractor will be disqualified if the answer to any of questions 1 through 6 is “no.”

1. Contractor possesses a valid and current California Contractor’s license for this Project for which it intends to submit a bid.

☐ Yes      ☐ No

2. Contractor has liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$5,000,000 aggregate or with an umbrella policy up to that limit.  
Contractor has pollution liability endorsement for minimum of \$5,000,000.

☐ Yes      ☐ No

3. Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.

☐ Yes      ☐ No

4. Has your contractor’s license been revoked at any time in the last five years?

☐ Yes      ☐ No

5. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years?

☐ Yes      ☐ No

6. At the time of submitting this form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

☐ Yes      ☐ No

If the answer is “Yes,” state the beginning and ending dates of the period of debarment:

1. At any time during the last five years, has your firm, or any of its owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

☐ Yes      ☐ No

**ATTACHMENT 4: ORGANIZATION HISTORY AND PERFORMANCE,  
COMPLIANCE WITH CIVIL AND CRIMINAL LAWS**

## ORGANIZATION HISTORY AND PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

## 1. Current BUSINESS STRUCTURE

**For Firms That Are Corporations:**

- 1a. Date incorporated: \_\_\_\_\_
- 1b. Under the laws of what state: \_\_\_\_\_
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation's stock.

| <i>Name</i> | <i>Position</i>  | <i>Years with Co.</i> | <i>% Ownership</i> | <i>Social Security #</i> |
|-------------|------------------|-----------------------|--------------------|--------------------------|
|             | <i>CEO</i>       |                       |                    |                          |
|             | <i>President</i> |                       |                    |                          |
|             | <i>Secretary</i> |                       |                    |                          |
|             | <i>Treasurer</i> |                       |                    |                          |
|             |                  |                       |                    |                          |
|             |                  |                       |                    |                          |

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

**NOTE:** For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

[illegible]

**For Firms That Are Partnerships:**

- 1a. Date of formation: \_\_\_\_\_
- 1b. Under the laws of what state: \_\_\_\_\_
- 1c. Provide all the following information for each partner who owns 10 per cent or more of the firm. (attach additional pages if necessary)

| <b>Name</b> | <b>Position</b> | <b>Years with Co.</b> | <b>% Ownership</b> | <b>Social Security #</b> |
|-------------|-----------------|-----------------------|--------------------|--------------------------|
|             |                 |                       |                    |                          |
|             |                 |                       |                    |                          |
|             |                 |                       |                    |                          |
|             |                 |                       |                    |                          |
|             |                 |                       |                    |                          |

- 1d. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

**NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.**

| <b>Person's Name</b> | <b>Construction Company</b> | <b>Dates of Person's Participation with Company</b> |
|----------------------|-----------------------------|-----------------------------------------------------|
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |

**For Firms That Are Sole Proprietorships:**

- 1a. Date of commencement of business. \_\_\_\_\_
- 1b. Social security number of company owner. \_\_\_\_\_
- 1c. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years

**NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.**

| <i>Person's Name</i> | <i>Construction Company</i> | <i>Dates of Person's Participation with Company</i> |
|----------------------|-----------------------------|-----------------------------------------------------|
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |
|                      |                             |                                                     |

**For Firms That Intend to Make a Bid as Part of a Joint Venture:**

- 1a. Date of commencement of joint venture. \_\_\_\_\_
- 1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

| <i>Name of firm</i> | <i>% Ownership of Joint Venture</i> |
|---------------------|-------------------------------------|
|                     |                                     |
|                     |                                     |
|                     |                                     |
|                     |                                     |

**History of the Business and Organizational Performance:**

2. *Has there been any change in ownership of the firm at any time during the last three years?*

**NOTE: A corporation whose shares are publicly traded is not required to answer this question.**

☐ Yes ☐ No

*If “yes,” explain on a separate signed page.*

3. *Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?*

**NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes ☐ No

*If “yes,” explain on a separate signed page.*

4. *Are any corporate officers, partners or owners connected to any other construction firms?*

**NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes ☐ No

*If “yes,” explain on a separate signed page.*

5. *State your firm’s gross revenues for each of the last three years:*

6. *How many years has your organization been in business in California as a contractor under your present business name and license number? \_\_\_\_\_Years*

7. *Is your firm currently the debtor in a bankruptcy case?*

☐ Yes ☐ No

*If “yes,” please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.*

8. *Was your firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 7, above)*

☐ Yes ☐ No

*If “yes,” please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court’s discharge order, or of any other document that ended the case, if no discharge order was issued.*

**Licenses:**

9. *List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:*

| <b><i>License Number</i></b> | <b><i>Trade Classification</i></b> | <b><i>Date Issued</i></b> | <b><i>Expiration Date</i></b> |
|------------------------------|------------------------------------|---------------------------|-------------------------------|
|                              |                                    |                           |                               |
|                              |                                    |                           |                               |
|                              |                                    |                           |                               |

10. *If any of your firm’s license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the California State Licensing Board (CSLB) records who meet(s) the experience and examination requirements for each license.*

11. *Has your firm changed names or license number in the past five years?*

☐ Yes ☐ No

*If “yes,” explain on a separate signed page, including the reason for the change.*

12. *Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?*

☐ Yes ☐ No

*If “yes,” explain on a separate signed page, including the reason for the change..*

13. *Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?*

☐ Yes ☐ No

*If “yes,” explain on a separate signed page.*

**Disputes:**

14. *At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?*

☐ Yes ☐ No

*If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.*

15. *In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?*

***NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Part II question 1c or 1d on this form.***

☐ Yes ☐ No

*If “yes,” explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify the name of the company, the name of the person within your firm who was associated with that company, the year of the*

*event, the owner of the project, the project and the basis for the action.*

16. *In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?*

☐ Yes ☐ No

*If “yes,” explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.*

\* \* \* \* \*

**NOTE: The following two (2) questions, refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes with amounts of less than \$50,000.**

17. *In the past five years has any claim **against** your firm concerning your firm’s work on a construction project been **filed in court or arbitration**?*

☐ Yes ☐ No

*If “yes,” on separate signed page identify the claim(s) and provide the project name, date of the claim, name of claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).*

18. *In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and **filed that claim in court or arbitration**?*

☐ Yes ☐ No

*If “yes,” on separate signed page identify the claim and project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).*

19. *At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?*

☐ Yes ☐ No

*If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.*

20. *In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?*

☐ Yes ☐ No

*If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.*

**Criminal Matters and Related Civil Suits:**

21. *Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?*

☐ Yes ☐ No

*If "yes," explain on a separate signed page, identifying who was involved, name of the public agency, date of the investigation and the grounds for the finding.*

22. *Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?*

☐ Yes ☐ No

*If "yes," explain on a separate signed page, identifying who was involved, name of the public*

*agency, date of the conviction and the grounds for the conviction.*

23. *Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?*

☐ Yes      ☐ No

*If “yes,” identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.*

**Bonding:**

24. *Bonding Capacity: Provide documentation from your surety identifying the following:*

*Name of bonding company/surety: \_\_\_\_\_*

*Name of surety agent, address and telephone number:*

\_\_\_\_\_  
\_\_\_\_\_

25. *If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.*

\_\_\_\_\_  
\_\_\_\_\_

26. *List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:*

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27. *During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?*

☐ Yes ☐ No

*If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.*

***Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety***

28. *Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?*

***NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include the information about the citation.***

☐ Yes ☐ No

*If “yes,” attached a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.*

29. *Has the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?*

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

☐ Yes      ☐ No

*If “yes,” attach a separate signed page describing each citation.*

30. *Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?*

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

☐ Yes      ☐ No

*If “yes,” attach a separate signed page describing each citation.*

31. *How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?*

☐ Once each week or more often  
☐ Less than once each week

32. *Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?*

☐ Yes      ☐ No

*If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate signed page. If “No,” please provide a statement by your current workers’*

*compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)*

**Prevailing Wage and Apprenticeship Compliance Record:**

33. *Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the **State's** prevailing wage laws?*

**NOTE:** *This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.*

☐ Yes      ☐ No

*If “yes,” attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.*

34. *During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the **Federal Davis-Bacon** prevailing wage requirements?*

☐ Yes      ☐ No

*If “yes,” attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.*

35. *Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project.*

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

36. *At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?*

☐ Yes      ☐ No

*If “yes,” provide the date(s) of such findings, and attach copies of the Department’s final decision(s).*

37. *The following is required for information only and will not be used as prequalification criteria.*

*In the past five years, has a governmental agency claimed that your firm violated any law, rule or regulation including the laws of any country, state or locality?*

☐ Yes      ☐ No

*In the latter situation, indicate whether your firm has been required to pay a penalty or fine equal to greater than \$10,000 or required to take remedial action costing \$10,000 or more, or whether members of the firm have been subject to periods of incarceration of 30 days or more.*

## **ATTACHMENT 5: REPRESENTATIONS & CERTIFICATIONS**

## REPRESENTATIONS AND CERTIFICATIONS

The following representations and certifications are to be completed, signed and returned with the offer.

**1. NOT-FOR-PROFIT ORGANIZATIONS**

Attach proof of status and omit Paragraph 3.

**2. INTERLOCKING DIRECTORATE**

In accordance with Board of Supervisors Policy A-79, if Offeror is a non-profit as indicated in paragraph 1 above, Offeror is required to identify any related for-profit subcontractors in which an interlocking directorate, management or ownership relationship exists. By submission of this offer, Offeror certifies it will not enter into a subcontract relationship with a related for-profit entity if Offeror is a non-profit entity. If Offeror is a non-profit and will be subcontracting with a related for-profit entity, Offeror must list the entity(ies) on an attached separate sheet listing them all and the contract must be approved by the Board of Supervisors

**3. BUSINESS REPRESENTATION**

**3.1. REPRESENTATION AS DISABLED VETERANS BUSINESS ENTERPRISE**

"Disabled Veterans Business Enterprise" means a business which is at least fifty-one (51%) owned and operated by one or more veterans with a service related disability as certified by Equal Opportunity Management Office (EOMO), California Department of General Services, Office of Small Business and members of Joint Agencies Contracting Opportunities (JACO), (California Military and Veterans code, Article 6, section 999).

This Offeror represents as a part of this offer that the ownership, operation and control of the business are in accordance with the specific definition in 3.1. I am currently certified by:

Certifying Government Agency: \_\_\_\_\_

Certification #: \_\_\_\_\_

**4. CERTIFICATE REGARDING DEBARMENT, SUSPENSION AND RELATED MATTERS**

Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

- 4.1.** Are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency; and
- 4.2.** Have within a three (3) year period preceding this agreement been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; and
- 4.3.** Are presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with the commission of any of the offenses enumerated in paragraph 4.2 of this certification; and

**4.4.** Have within a three (3) year period preceding this agreement had one or more public transactions (Federal, State or local) terminated for cause or default.

**4.5.** Are presently the target or subject of any investigation, accusation or charges by any Federal, State or local law enforcement, licensing or certification body and if they are, the appropriate information is included in the proposal, as requested in the Submittal Requirements.

**4.6.** Contractor will report in writing to the County Department of Purchasing and Contracting within five business days of knowing or have any reason to know any change in status as certified in the preceding paragraphs 4.1 through 4.5, and that occur prior to award (in the case of bids) and contract execution (in the case of negotiated procurements).

**4.7.** Offeror and its proposed subcontractors, agents and consultants have not previously contracted with the County to perform work on this project (e.g. preparing components of the statement of work or plans and specifications for this project). If Offeror or any of its subcontractors, agents or consultants, have previously contracted with the County to perform work on this project, Offeror shall identify those previous agreement(s) and submit that list along with the proposal.

**5. CERTIFICATE OF CURRENT COST OR PRICING**

This is to certify that, to the best of my knowledge and belief, cost and/or pricing data submitted with this offer, or specifically identified by reference if actual submission of the data is impracticable, is/are accurate, complete, and current as of the date signed below.

**6. CERTIFICATE OF INDEPENDENT PRICING**

By submission of this offer, each Offeror certifies, and in the case of a joint offers, each party thereto certifies as to its own organization, that in relation to this procurement:

- 6.1.** The prices in this offer have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with other Offeror; with any competitor; or with any County employee(s) or consultant(s) involved in this or related procurements; and
  - 6.2.** Unless otherwise required by law, the prices which have been quoted in this offer have not been knowingly disclosed by the Offeror and will not knowingly be disclosed by the Offeror prior to opening, in the case of a bid, or prior to award, in the case of a proposal, directly or indirectly to any other Offeror or to any competitor; and
  - 6.3.** No attempt has been made or will be made by the Offeror to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.
- 7.** The Offeror understands that prior to receiving a contract award from the County, the Offeror must submit a completed IRS W-9 form to provide a Federal Tax ID number, or if not available, to provide a Social Security Number (SSN).

**CERTIFICATION**

The information furnished in Paragraphs 1 through 7 is certified to be factual and correct as of the date submitted and this certification is made under penalty of perjury under the laws of the State of California.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Company/Organization: \_\_\_\_\_

## **ATTACHMENT 6: DESIGNATION OF SUBCONTRACTORS**

## Designation of Subcontractors

Set forth below is the full name and the location of the place of business of each Subcontractor whom the Bidder proposes to subcontract portions of the work in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, and the portion of the work which will be done by each Subcontractor for each subcontract.

NOTE: The Bidder understands that if the Bidder fails to specify a Subcontractor for any portion of the work to be performed under the contract, in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, the Bidder shall be deemed to have agreed to perform such portion, and that the Bidder shall not be permitted to sublet or subcontract that portion of the work except in cases of written approval of California Trout or public emergency or necessity, and then only after a finding, reduced to writing as a public record of the setting forth the facts constituting the emergency or necessity in accordance with the provision of the Subletting and Subcontracting Fair Practices Act (Section 4100 et seq. of the California Public Contract Code).

All information for each Portion of Work to be Subcontracted is required at the time of bid submittal.

Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County

| DESIGNATION OF SUBCONTRACTORS       |                     |                           |                              |             |
|-------------------------------------|---------------------|---------------------------|------------------------------|-------------|
| PORTION OF WORK TO BE SUBCONTRACTED |                     | BUSINESS NAME AND ADDRESS | PERCENTAGE OF TOTAL CONTRACT | LICENSE NO. |
| ITEM NO.                            | DESCRIPTION OF WORK |                           |                              |             |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |
|                                     | _____               | _____                     |                              | _____       |

TOTAL % SUBCONTRACTED \_\_\_\_\_

Name of Bidder: \_\_\_\_\_

## **ATTACHMENT 7: PROJECT DATA SHEET**

Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County

**PROJECT DATA SHEET # \_\_\_\_\_**

*(One data sheet per project; number each sheet)*

|                                                                                |                                                                                                                                                                           |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Project Name                                                                   |                                                                                                                                                                           |
| Project Location                                                               |                                                                                                                                                                           |
| Work performed as:                                                             | <input type="checkbox"/> Prime Contractor<br><input type="checkbox"/> Subcontractor<br><input type="checkbox"/> Joint Venture<br><input type="checkbox"/> Other (explain) |
| If subcontractor, who was the prime<br>(Name and Phone Number)                 |                                                                                                                                                                           |
| Type of Project (Private, Public Works,<br>Government, etc.)                   |                                                                                                                                                                           |
|                                                                                |                                                                                                                                                                           |
| Owner / Client                                                                 |                                                                                                                                                                           |
| Owner Contact Name                                                             |                                                                                                                                                                           |
| Owner Contact Phone #                                                          |                                                                                                                                                                           |
| Architect or Engineer (A/E)                                                    |                                                                                                                                                                           |
| A/E Contact Name                                                               |                                                                                                                                                                           |
| A/E Contact Phone #                                                            |                                                                                                                                                                           |
| Construction Manager Name<br>(if applicable)                                   |                                                                                                                                                                           |
| Construction Manager Phone #<br>(if applicable)                                |                                                                                                                                                                           |
|                                                                                |                                                                                                                                                                           |
| Description of Project and Scope of Work<br>Performed                          |                                                                                                                                                                           |
| Original Contract Amount                                                       |                                                                                                                                                                           |
| Final Contract Amount (including change<br>orders)                             |                                                                                                                                                                           |
| Explanation for any differences between<br>original and final contract amounts |                                                                                                                                                                           |
|                                                                                |                                                                                                                                                                           |
| Original Scheduled Completion Date                                             |                                                                                                                                                                           |
| Time Extensions Granted (number<br>of days with explanation for extension)     |                                                                                                                                                                           |
| Actual Date of Completion                                                      |                                                                                                                                                                           |

**Names and references must be current and verifiable.**

**Form may be substituted with similar Project Data Sheet but must contain the requested information above.**

## **ATTACHMENT 8: PAST PERFORMANCE QUESTIONNAIRE**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>PAST PERFORMANCE QUESTIONNAIRE</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |
| <b>CONTRACT INFORMATION (Contractor to complete Blocks 1-4)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |
| <b>1. Contractor Information</b><br>Firm Name: _____ CAGE Code: _____<br>Address: _____ DUNS Number: _____<br>Phone Number: _____<br>Email Address: _____<br>Point of Contact: _____ Contact Phone Number: _____                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |
| <b>2. Work Performed as:</b> <input type="checkbox"/> Prime Contractor <input type="checkbox"/> Sub Contractor <input type="checkbox"/> Joint Venture <input type="checkbox"/> Other (Explain)<br>Percent of project work performed: _____<br>If subcontractor, who was the prime (Name/Phone #): _____                                                                                                                                                                                                                                                                                                                                                       |  |
| <b>3. Contract Information</b><br>Contract Number: _____<br>Delivery/Task Order Number (if applicable): _____<br>Contract Type: <input type="checkbox"/> Firm Fixed Price <input type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Other (Please specify): _____<br>Contract Title: _____<br>Contract Location: _____<br><br>Award Date (mm/dd/yy): _____<br>Contract Completion Date (mm/dd/yy): _____<br>Actual Completion Date (mm/dd/yy): _____<br>Explain Differences: _____<br><br>Original Contract Price (Award Amount): _____<br>Final Contract Price (to include all modifications, if applicable): _____<br>Explain Differences: _____ |  |
| <b>4. Project Description:</b><br>Complexity of Work <input type="checkbox"/> High <input type="checkbox"/> Med <input type="checkbox"/> Routine<br>How is this project relevant to project of submission? (Please provide details such as similar equipment, requirements, conditions, etc.)<br><br><br><br><br><br><br><br><br><br>                                                                                                                                                                                                                                                                                                                         |  |
| <b>CLIENT INFORMATION (Client to complete Blocks 5-8)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |
| <b>5. Client Information</b><br>Name: _____<br>Title: _____<br>Phone Number: _____<br>Email Address: _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |
| <b>6. Describe the client's role in the project:</b><br><br><br><br>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
| <b>7. Date Questionnaire was completed (mm/dd/yy):</b> _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |
| <b>8. Client's Signature:</b><br><br><br>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |

ADJECTIVE RATINGS AND DEFINITIONS TO BE USED TO BEST REFLECT  
YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE

| RATING             | DEFINITION                                                                                                                                                                                                                                                                                                                         | NOTE                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (E) Exceptional    | Performance meets contractual requirements and exceeds many to the Government/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with few minor problems for which corrective actions taken by the contractor was highly effective.                                        | An Exceptional rating is appropriate when the Contractor successfully performed multiple significant events that were of benefit to the Government/Owner. A singular benefit, however, could be of such magnitude that it alone constitutes an Exceptional rating. Also, there should have been NO significant weaknesses identified.                                                                                                 |
| (VG) Very Good     | Performance meets contractual requirements and exceeds some to the Government's/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with some minor problems for which corrective actions taken by the contractor were effective.                                           | A Very Good rating is appropriate when the Contractor successfully performed a significant event that was a benefit to the Government/Owner. There should have been no significant weaknesses identified.                                                                                                                                                                                                                             |
| (S) Satisfactory   | Performance meets minimum contractual requirements. The contractual performance of the element or sub-element contains some minor problems for which corrective actions taken by the contractor appear or were satisfactory.                                                                                                       | A Satisfactory rating is appropriate when there were only minor problems, or major problems that the contractor recovered from without impact to the contract. There should have been NO significant weaknesses identified. Per DOD policy, a fundamental principle of assigning ratings is that contractors will not be assessed a rating lower than Satisfactory solely for not performing beyond the requirements of the contract. |
| (M) Marginal       | Performance does not meet some contractual requirements. The contractual performance of the element or sub-element being assessed reflects a serious problem for which the contractor has not yet identified corrective actions. The contractor's proposed actions appear only marginally effective or were not fully implemented. | A Marginal is appropriate when a significant event occurred that the contractor had trouble overcoming which impacted the Government/Owner.                                                                                                                                                                                                                                                                                           |
| (U) Unsatisfactory | Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element or sub-element contains serious problem(s) for which the contractor's corrective actions appear or were ineffective.                                                             | An Unsatisfactory rating is appropriate when multiple significant events occurred that the contractor had trouble overcoming and which impacted the Government/Owner. A singular problem, however, could be of such serious magnitude that it alone constitutes an unsatisfactory rating.                                                                                                                                             |
| (N) Not Applicable | No information or did not apply to your contract                                                                                                                                                                                                                                                                                   | Rating will be neither positive nor negative.                                                                                                                                                                                                                                                                                                                                                                                         |

## TO BE COMPLETED BY CLIENT

PLEASE CIRCLE THE ADJECTIVE RATING WHICH BEST REFLECTS YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE.

|                                                                                                                                                                                                                                                                                                                                                       |     |    |    |   |   |   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|----|---|---|---|
| <b>1. QUALITY:</b>                                                                                                                                                                                                                                                                                                                                    |     |    |    |   |   |   |
| a) Quality of technical data/report preparation efforts                                                                                                                                                                                                                                                                                               | E   | VG | S  | M | U | N |
| b) Ability to meet quality standards specified for technical performance                                                                                                                                                                                                                                                                              | E   | VG | S  | M | U | N |
| c) Timeliness/effectiveness of contract problem resolution without extensive customer guidance                                                                                                                                                                                                                                                        | E   | VG | S  | M | U | N |
| d) Adequacy/effectiveness of quality control program and adherence to contract quality assurance requirements (without adverse effect on performance)                                                                                                                                                                                                 | E   | VG | S  | M | U | N |
| <b>2. SCHEDULE/TIMELINESS OF PERFORMANCE:</b>                                                                                                                                                                                                                                                                                                         |     |    |    |   |   |   |
| a) Compliance with contract delivery/completion schedules including any significant intermediate milestones. <i>(If liquidated damages were assessed or the schedule was not met, please address below)</i>                                                                                                                                           | E   | VG | S  | M | U | N |
| b) Rate the contractor's use of available resources to accomplish tasks identified in the contract                                                                                                                                                                                                                                                    | E   | VG | S  | M | U | N |
| <b>3. CUSTOMER SATISFACTION:</b>                                                                                                                                                                                                                                                                                                                      |     |    |    |   |   |   |
| a) To what extent were the end users satisfied with the project?                                                                                                                                                                                                                                                                                      | E   | VG | S  | M | U | N |
| b) Contractor was reasonable and cooperative in dealing with your staff (including the ability to successfully resolve disagreements/disputes; responsiveness to administrative reports, businesslike and communication)                                                                                                                              | E   | VG | S  | M | U | N |
| c) To what extent was the contractor cooperative, businesslike, and concerned with the interests of the customer?                                                                                                                                                                                                                                     | E   | VG | S  | M | U | N |
| d) Overall customer satisfaction                                                                                                                                                                                                                                                                                                                      | E   | VG | S  | M | U | N |
| <b>4. MANAGEMENT/ PERSONNEL/LABOR</b>                                                                                                                                                                                                                                                                                                                 |     |    |    |   |   |   |
| a) Effectiveness of on-site management, including management of subcontractors, suppliers, materials, and/or labor force?                                                                                                                                                                                                                             | E   | VG | S  | M | U | N |
| b) Ability to hire, apply, and retain a qualified workforce to this effort                                                                                                                                                                                                                                                                            | E   | VG | S  | M | U | N |
| c) Government Property Control                                                                                                                                                                                                                                                                                                                        | E   | VG | S  | M | U | N |
| d) Knowledge/expertise demonstrated by contractor personnel                                                                                                                                                                                                                                                                                           | E   | VG | S  | M | U | N |
| e) Utilization of Small Business concerns                                                                                                                                                                                                                                                                                                             | E   | VG | S  | M | U | N |
| f) Ability to simultaneously manage multiple projects with multiple disciplines                                                                                                                                                                                                                                                                       | E   | VG | S  | M | U | N |
| g) Ability to assimilate and incorporate changes in requirements and/or priority, including planning, execution and response to Government changes                                                                                                                                                                                                    | E   | VG | S  | M | U | N |
| h) Effectiveness of overall management (including ability to effectively lead, manage and control the program)                                                                                                                                                                                                                                        | E   | VG | S  | M | U | N |
| <b>5. COST/FINANCIAL MANAGEMENT</b>                                                                                                                                                                                                                                                                                                                   |     |    |    |   |   |   |
| a) Ability to meet the terms and conditions within the contractually agreed price(s)?                                                                                                                                                                                                                                                                 | E   | VG | S  | M | U | N |
| b) Contractor proposed innovative alternative methods/processes that reduced cost, improved maintainability or other factors that benefited the client                                                                                                                                                                                                | E   | VG | S  | M | U | N |
| c) If this is/was a Government cost type contract, please rate the Contractor's timeliness and accuracy in submitting monthly invoices with appropriate back-up documentation, monthly status reports/budget variance reports, compliance with established budgets and avoidance of significant and/or unexplained variances (under runs or overruns) | E   | VG | S  | M | U | N |
| d) Is the Contractor's accounting system adequate for management and tracking of costs? <i>If no, please explain in Remarks section.</i>                                                                                                                                                                                                              | Yes |    | No |   |   |   |
| e) If this is/was a Government contract, has/was this contract been partially or completely terminated for default or convenience or are there any pending terminations? <i>Indicate if show cause or cure notices were issued, or any default action in comment section below.</i>                                                                   | Yes |    | No |   |   |   |
| f) Have there been any indications that the contractor has had any financial problems? <i>If yes, please explain below.</i>                                                                                                                                                                                                                           | Yes |    | No |   |   |   |

# Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County

| 6. SAFETY/SECURITY                                                                                                                                                                                                                                                                            |  |     |    |   |    |   |   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----|----|---|----|---|---|
| a) To what extent was the contractor able to maintain an environment of safety, adhere to its approved safety plan, and respond to safety issues? (Includes: following the users rules, regulations, and requirements regarding housekeeping, safety, correction of noted deficiencies, etc.) |  | E   | VG | S | M  | U | N |
| b) Contractor complied with all security requirements for the project and personnel security requirements.                                                                                                                                                                                    |  | E   | VG | S | M  | U | N |
| 7. GENERAL                                                                                                                                                                                                                                                                                    |  |     |    |   |    |   |   |
| a) Ability to successfully respond to emergency and/or surge situations (including notifying COR, PM or Contracting Officer in a timely manner regarding urgent contractual issues).                                                                                                          |  | E   | VG | S | M  | U | N |
| b) Compliance with contractual terms/provisions ( <i>explain if specific issues</i> )                                                                                                                                                                                                         |  | E   | VG | S | M  | U | N |
| c) Would you hire or work with this firm again? ( <i>If no, please explain below</i> )                                                                                                                                                                                                        |  | Yes |    |   | No |   |   |
| d) In summary, provide an overall rating for the work performed by this contractor.                                                                                                                                                                                                           |  | E   | VG | S | M  | U | N |

Please provide responses to the questions above (*if applicable*) and/or additional remarks. Furthermore, please provide a brief narrative addressing specific strengths, weaknesses, deficiencies, or other comments which may assist our office in evaluating performance risk (*please attach additional pages if necessary*):

**ATTACHMENT 9: BID PRICING SCHEDULE AND SCHEDULE OF SELECT COSTS**

**BID PRICING SCHEDULE**

| <b>Bid Item No.</b> | <b>Task No.</b> | <b>Item Description</b>                       | <b>Qty</b> | <b>Units</b> | <b>Subtotal Amount</b> | <b>Extendend Amount</b> |
|---------------------|-----------------|-----------------------------------------------|------------|--------------|------------------------|-------------------------|
| 1                   | -               | <b>Preconstruction Deliverables</b>           | 1          | LS           |                        |                         |
| 2                   | 6.1             | <b>Mobilization &amp; Demobilization</b>      | 1          | LS           |                        |                         |
|                     |                 | Mobilization & Administration of Project      |            |              |                        |                         |
|                     |                 | Class "A" Field Office                        |            |              |                        |                         |
|                     |                 | Environmental Protection                      |            |              |                        |                         |
|                     |                 | Construction Site BMPs                        |            |              |                        |                         |
|                     |                 | Demobilization                                |            |              |                        |                         |
| 3                   | 6.2             | <b>Demolition, Clear and Grub, Site Prep</b>  | 1          | LS           |                        |                         |
| 4                   | 6.3             | <b>Traffic Control &amp; Staging</b>          | 1          | LS           |                        |                         |
| 5                   | 6.4             | <b>Control of Water</b>                       | 1          | LS           |                        |                         |
| 6                   | 6.5             | <b>Shoring &amp; Structural Stabilization</b> | 1          | LS           |                        |                         |
| 7                   | 6.6             | <b>Earthwork</b>                              | 1          | LS           |                        |                         |
| 8                   | 6.7             | <b>Material Export</b>                        | 1          | LS           |                        |                         |
| 9                   | 6.8             | <b>Structure Concrete</b>                     | 1          | LS           |                        |                         |
|                     |                 | Soil Nailing & Shotcrete                      |            |              |                        |                         |
|                     |                 | North Access Road Footing/Wall                |            |              |                        |                         |
|                     |                 | Upstream Trail Footing/Wall                   |            |              |                        |                         |
|                     |                 | South Channel Footing/Wall                    |            |              |                        |                         |
|                     |                 | Access Road Slab                              |            |              |                        |                         |
|                     |                 | North Fishway Footing/Wall                    |            |              |                        |                         |
|                     |                 | South Fishway Footing/Wall                    |            |              |                        |                         |
|                     |                 | Type 2 Fishway Slab                           |            |              |                        |                         |
| 10                  | 6.9             | <b>Fishway Construction</b>                   | 1          | LS           |                        |                         |
|                     |                 | Type 2 Fishway & Roughened Channel            |            |              |                        |                         |
|                     |                 | Type 1 Fishway chutes, pools, and rock berm   |            |              |                        |                         |
|                     |                 | Fishway Exit Channel                          |            |              |                        |                         |
| 11                  | 6.10            | <b>Flood Conveyance Construction</b>          | 1          | LS           |                        |                         |
|                     |                 | Fish Guidance Barrier                         |            |              |                        |                         |
|                     |                 | Drop Structure & Silling Basin                |            |              |                        |                         |
| 12                  | 6.11            | <b>Sewer Pipeline Protection</b>              | 1          | LS           |                        |                         |
| 13                  | 6.12            | <b>Multi-Use Trail Bridge</b>                 | 1          | LS           |                        |                         |
|                     |                 | Deferred Submittal                            |            |              |                        |                         |
|                     |                 | Bridge Construction                           |            |              |                        |                         |
|                     |                 | Access Roads and Ramps                        |            |              |                        |                         |
|                     |                 | Drainage Ditches                              |            |              |                        |                         |

Fish Passage Structure Construction at Metrolink Railway Barrier on Trabuco Creek – Orange County

| Bid Item No.     | Task No. | Item Description                      | Qty | Units | Subtotal Amount | Extendend Amount |
|------------------|----------|---------------------------------------|-----|-------|-----------------|------------------|
| 14               | 7.1      | Intervening Reach Restoration         | 1   | LS    |                 |                  |
|                  |          | Downstream Reach Channel Improvements |     |       |                 |                  |
|                  |          | Middle Reach Channel Improvements     |     |       |                 |                  |
| 15               | 7.2      | Rock Protection                       | 1   | LS    |                 |                  |
|                  |          | Rock Protection                       |     |       |                 |                  |
|                  |          | Culvert Outlet Channel                |     |       |                 |                  |
|                  |          | Wood Structures                       |     |       |                 |                  |
|                  |          | Site Restoration                      |     |       |                 |                  |
|                  |          | Revegetation                          |     |       |                 |                  |
| <b>BID TOTAL</b> |          |                                       |     |       |                 |                  |

*All items listed below each Bid Item No. should be considered sub-tasks of which the total sum of all sub-tasks should equal the parent task. For example, Mobilization & Administration of Project, Class "A" field office, etc. should sum up to the total amount entered for Bid Item No. 2.*

**SCHEDULE OF SELECT COSTS**

|  | <b>Item No.</b> | <b>Item Description</b>              | <b>Qty</b> | <b>Units</b> | <b>Unit Cost</b> | <b>Extendend Amount</b> |
|--|-----------------|--------------------------------------|------------|--------------|------------------|-------------------------|
|  | 1               | Ready Mix Concrete                   | 1          | CY           |                  |                         |
|  | 2               | Grout                                | 1          | CY           |                  |                         |
|  | 3               | Streambed Boulders                   | 1          | TON          |                  |                         |
|  | 4               | Engineered Streambed Materials (ESM) | 1          | TON          |                  |                         |
|  | 5               | Aggregate Base Course                | 1          | TON          |                  |                         |
|  | 6               | Cobble/Soil Mix                      | 1          | TON          |                  |                         |
|  | 7               | Streambed Fill                       | 1          | TON          |                  |                         |
|  |                 |                                      |            |              |                  |                         |